

Additional Required Documents

Depending on the transfer situation, there are required documents you may need to provide for Publix stockholder services to process a transfer, gift or name change. If your situation is not outlined below, please contact Publix stockholder services for assistance at (863) 688-7407, ext. 52323 or toll-free 1-800-741-4332 (outside of Lakeland).

Transfer Situation	Additional Required Documents
Add joint stockholder (must be your legal spouse)	<ul style="list-style-type: none"> Completed IRS Form W-9 Copy of marriage license
Remove joint stockholder (due to divorce)	<ul style="list-style-type: none"> Original certified divorce decree or court order
Legal name change	<ul style="list-style-type: none"> Completed IRS Form W-9 Legal name change document <ul style="list-style-type: none"> Copy of marriage license or naturalization papers Original certified divorce decree or court order
Transfer to a former minor from a custodial account	<ul style="list-style-type: none"> Completed IRS Form W-9 for the former minor Proof that the former minor is now age of majority (i.e., copy of birth certificate or driver's license)
Transfer to a trust	<ul style="list-style-type: none"> Copy of entire trust document Trusts under a Tax ID Number (TIN): copy of the IRS confirmation letter <p>Note: A Waiver and Restriction Agreement will be created for Publix, the grantor(s) and the trustee(s) of the trust to sign.</p>
Gift to an adult (age 18 or over)	<p>The following documents will be requested from the donee by stockholder services</p> <ul style="list-style-type: none"> Completed IRS Form W-9 Completed Donee Affidavit
Gift to a minor (under age 18)	<p>The following documents will be requested from the donee by stockholder services</p> <ul style="list-style-type: none"> Completed IRS Form W-9 for the donee (minor) and the minor's custodian Completed Donee Affidavit signed by the minor's custodian
Gift to a charitable organization	<ul style="list-style-type: none"> Completed IRS Form 8283 from the charitable organization
Deceased stockholder – individual stock account with TOD beneficiary	<ul style="list-style-type: none"> Certified death certificate with cause of death Completed IRS Form W-9 for the person listed as TOD beneficiary
Deceased stockholder – individual stock account without TOD beneficiary	<ul style="list-style-type: none"> Certified death certificate with cause of death Original court sealed probate documentation <i>certified within the past 60 days</i>
Deceased stockholder – joint stock account	<ul style="list-style-type: none"> Certified death certificate with cause of death
Deceased minor custodian – custodial stock account	<ul style="list-style-type: none"> Certified death certificate with cause of death Completed IRS Form W-9 for the minor's parent or legal guardian <ul style="list-style-type: none"> If the minor has a parent living, a copy of the minor's birth certificate is required for the parent to take over as minor custodian If a guardian is appointed, an original court order is required to verify legal guardianship of the minor
Deceased minor – custodial stock account	<ul style="list-style-type: none"> Certified death certificate with cause of death Original court sealed probate documentation <i>certified within the past 60 days</i>

Publix Stock Transfer Request Form

Current Stock Account and Shares to Transfer (Includes Gifts and Name Changes)

Please specify the stock account you want to transfer shares from and the total number of shares of Publix stock you want to transfer from this stock account. In addition to completing this form, you must complete and sign the back of any paper stock certificates for the shares you want to transfer.

Account Number: _____

Account Registration Name: _____
(as shown in the account statement or the paper stock certificate)

Last 4 Digits of Social Security Number/Tax ID: XXX-XX-_____ OR XX-XXX _____

Email Address: _____ Phone Number: (_____) _____ - _____

Total number of shares to transfer: _____
(paper certificates must be enclosed)

Note: If you're only transferring or gifting a portion of the shares on a paper stock certificate, an electronic certificate will be issued for the remaining shares. For name changes, an electronic certificate will be issued for the shares.

Existing Account Information

Complete this section if you're transferring shares into an existing account.

Account Number			
Account Registration Name			
Last 4 Digits of Social Security Number/Tax ID XXX-XX-_____ OR XX-XXX _____			
Street Address	City	State	ZIP Code

New Account Information

Complete this section if you're transferring shares into a new account. Only select one type of account to transfer shares into.

<input type="checkbox"/> Individual			
Account Holder			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number	Email Address	
Street Address	City	State	ZIP Code
<input type="checkbox"/> Joint (legal spouse only)			
Account Holder			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number	Email Address	
Joint Account Holder (Spouse's Information)			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number	Email Address	
Street Address	City	State	ZIP Code

New Account Information, Continued

<input type="checkbox"/> Custodial (legal guardian for minor under age 18)			
Custodian			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number		Email Address
Minor			
First Name		Middle Initial	Last Name
Social Security Number			
Street Address		City	State ZIP Code
<input type="checkbox"/> Trust			
Name of Trust		Tax ID Number (TIN)	Date of Trust
Trustee #1			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number		Email Address
Street Address		City	State ZIP Code
Trustee #2			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number		Email Address
Street Address		City	State ZIP Code

Transfer on Death (TOD) Designation

If you are adding, changing or removing the TOD beneficiary for a stock account, complete the information below. If you're gifting stock, only the donee (person receiving the gift) can designate the TOD beneficiary.

- TOD beneficiary should not be under age 18 and cannot be your spouse if stock is held jointly.
- TOD beneficiary cannot be added to a custodial account.

<input type="checkbox"/> Add or change			
First Name		Middle Initial	Last Name
Social Security Number	Phone Number		Email Address
<input type="checkbox"/> Remove (no additional information needed)			

Signatures and Acknowledgements

The signatures of all stockholders are required to process a transfer, gift or name change. The signatures must be stamped with a medallion signature guarantee by a qualified financial institution.

- If the value of the shares associated with the transfer, gift or name change is less than \$10,000, copies of government-issued IDs for all stockholders listed in the stock account registration can be provided in lieu of a medallion signature guarantee.
- SOCIAL SECURITY NUMBER CERTIFICATION (SUBSTITUTE FORM W-9): Under penalties of perjuries, I certify that: 1) the number shown on this form is my correct Social Security Number, 2) I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest and dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3) I am a U.S. citizen or other U.S. person as defined in the instructions by the IRS.

Signatures and Acknowledgements, Continued

I (we) represent that I (we) are authorized to request a transfer, gift or name change from the above referenced stock account, and by signing below, I (we) certify that every statement made on this form is true to the best of my (our) knowledge. I (we) also represent that I (we) have received no valuable consideration for the transfer, gift or name change of the shares nor will receive any valuable consideration after the transfer, gift or name change is completed.

Stockholder Signature: _____ **Date:** _____

Stockholder Signature: _____ **Date:** _____
(for joint account)

Medallion Signature Guarantee:

All stockholders listed in the registration of the account statement or the paper stock certificate must sign as their name appears, with the exception of any Transfer on Death (TOD) beneficiaries. When signing as attorney-in-fact, executor, administrator, trustee, guardian, custodian or other representative capacity, please give full title as such.